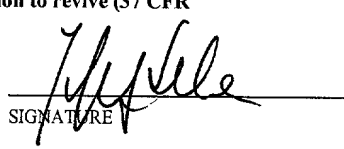


FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 089317-000000US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				09/913617	
INTERNATIONAL APPLICATION NO. PCT/EP00/01200		INTERNATIONAL FILING DATE August 24, 2000		PRIORITY DATE CLAIMED February 15, 1999	
TITLE OF INVENTION DEFORMABLE FIBERSCOPE WITH A DISPLACEABLE SUPPLEMENTARY DEVICE					
APPLICANT(S) FOR DO/EO/US Ingo F. Herrmann					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 36 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 37(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 36 U.S.C.</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p>					
<p><b>International Search Report</b></p> <p>ADS,</p> <p>8 Sheets Formal Drawings</p>					

518 Rec'd PCT/PTO 14 AUG 2001

US/ Application no. (if known, see 37 CFR 1.5) <b>09/913617</b>		INTERNATIONAL APPLICATION NO PCT/EP 00/01200		ATTORNEY'S DOCKET NUMBER 089317-0	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492(A) (1) - (5)):</b>					
Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1000.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO .....\$860.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$710.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$690.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4) .....\$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	23 - 20 =	3	x \$18.00	\$54.00	
Independent claims	-3 =		x \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 270.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$914.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	
<b>SUBTOTAL =</b>				\$457.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFT 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>					
Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$457.00	
				Amount to be refunded:	
				\$	
				charged:	
				\$	
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of \$ <u>457.00</u> to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
J. Georg Seka					
Townsend and Townsend and Crew, LLP					
Two Embarcadero Center, Suite 800					
San Francisco, CA. 94111-3834					
 SIGNATURE					
J. Georg Seka					
NAME					
24,491					
REGISTRATION NUMBER					

09/913617

518 Rec'd PCT/PTO 14 AUG 2001 <sup>TENT</sup>

Attorney Docket No. 089317-000000US  
Client Reference No. H 3537 - Ov/Sv

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. National Phase of  
PCT/EP00/01200

Ingo F. Herrmann

Application No.: Not yet assigned

Filed: Herewith

For: DEFORMABLE FIBERSCOPE  
WITH A DISPLACEABLE  
SUPPLEMENTARY DEVICE

PRELIMINARY AMENDMENT

San Francisco, CA 94111  
August 14, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Prior to the examination of the above-referenced application, please enter the following amendments and remarks.

IN THE CLAIMS:

Please cancel claim 13.

Please substitute the following amended, clean versions of the indicated claims (a marked-up version of the changes to the claims is attached to this Amendment):

3. (amended) An endoscope in accordance with claim 1, characterised in that the holding device has at the distal end of the fibroscope part (11) for the acceptance of the additional instrument (25) a loop (27) to engage around the additional instrument (25) and whose free length can preferably be actively modified.

5. (amended) An endoscope in accordance with claim 1, characterised in that a groove (49) or a holding clamp (53), on the one hand, and a corresponding rail (47) or

corresponding rail segments, on the other, are provided as a holding device at the fibroscope part (11) and at the additional instrument (25), or vice versa.

6. (amended) An endoscope in accordance with claim 1, characterised in that at least one permanent magnet (55) and at least one counter-element (25) made of permanently magnetic or magnetic material are provided as a holding device at the fibroscope part (11), on the one hand, and at the additional instrument (25) on the other, or vice versa.

8. (amended) An endoscope in accordance with claim 1, characterised in that a catch element (61) and a hook device (59) are provided as the holding device at the fibroscope part (11), on the one hand and at the additional instrument (25) on the other, or vice versa.

10. (amended) An endoscope in accordance with claim 1, characterised in that at least one fastening hoop (29) is provided along the insertion section (13) of the endoscope and spaced from its distal end for the guidance of the additional instrument (25).

11. (amended) An endoscope in accordance with claim 1, characterised in that a jacket hose (31) or a side cover (45) is provided at the fibroscope part (11) as a holding device for the acceptance of the additional instrument (25), said jacket hose (31) or side cover (45) extending along the whole insertion section or along a part of the insertion section (13) of the endoscope.

14. (amended) An endoscope in accordance with claim 1, characterised in that the cross-section (43) of the insertion section (13) is matched to the body orifice (41); and/or in that the endoscope is formed as a pharyngo-oesophago-gastroscope for the examination of the pharynx, oesophagus and/or stomach, wherein the cross-section (43) of the insertion section (13) is matched to the cross-section of a human meatus of the nose (41).

15. (amended) An endoscope in accordance with claim 1, characterised in that the cross-section dimension of the insertion section (13) is larger in one direction, in particular larger at least by a factor of 1.5, than in a direction orthogonal thereto; and/or in that the cross-section (43) of the insertion section (13) corresponds to an isosceles triangle or a mirror-symmetrical trapezium, each with rounded corners and preferably with a base length of at most approximately 3.5 mm.

16. (amended) An endoscope in accordance with claim 1, characterised in that the additional instrument (25) is formed by biopsy forceps, an aspirator/injector probe, a pH probe, a pressure measuring instrument and/or a Bilitec measuring probe; and/or in that the maximum cross-section dimension of the additional instrument (25) amounts to at most approximately 3 mm and preferably at most approximately 2 mm.

17. (amended) An endoscope in accordance with claim 1, characterised in that the additional instrument (25) is provided laterally spaced with respect to the centre of the cross-section (43) of the insertion section (13); and/or in that the fibroscope part (11) and the additional instrument (25) are displaceable relative to one another by a length of up to approximately 5 cm or of up to approximately 35 cm along their longitudinal directions.

18. (amended) An endoscope in accordance with claim 1, characterised in that the endoscope has a Bowden cable (23) by which the endoscope can be actively swivelled in the direction of its larger cross-sectional dimension; and/or in that at least one separate light transmission passage (17) and at least one separate image transmission passage (19) are provided as the light/image transmission passages.

Please add the following new claims:

19. (new) A deformable endoscope that has one or more light/image transmission passages (17, 19) and in which at least one additional instrument (25) is provided, wherein the unit of endoscope (11) and additional instrument (25) has a non-round cross-section (43) along a longitudinal section (13) (insertion section) to be inserted into a human or animal body orifice (41), and wherein the light/image transmission or the plurality of light/image transmission passages (17, 19) and the additional instrument (25) form a closed unit.

20. (new) An endoscope in accordance with claim 18, characterised in that the cross-section (43) of the insertion section (13) is matched to the body orifice (41); and/or in that the endoscope is formed as a pharyngo-oesophago-gastroscope for the examination of the pharynx, oesophagus and/or stomach, wherein the cross-section (43) of the insertion section (13) is matched to the cross-section of a human meatus of the nose (41).

21. (new) An endoscope in accordance with claim 18, characterised in that the cross-section dimension of the insertion section (13) is larger in one direction, in particular larger at least by a factor of 1.5, than in a direction orthogonal thereto; and/or in that the cross-section (43) of the insertion section (13) corresponds to an isosceles triangle or a mirror-symmetrical trapezium, each with rounded corners and preferably with a base length of at most approximately 3.5 mm.

22. (new) An endoscope in accordance with claim 18, characterised in that the additional instrument (25) is formed by biopsy forceps, an aspirator/injector probe, a pH probe, a pressure measuring instrument and/or a Bilitec measuring probe; and/or in that the maximum cross-section dimension of the additional instrument (25) amounts to at most approximately 3 mm and preferably at most approximately 2 mm.

23. (new) An endoscope in accordance with claim 18, characterised in that the additional instrument (25) is provided laterally spaced with respect to the centre of the cross-section (43) of the insertion section (13); and/or in that the fibroscope part (11) and

the additional instrument (25) are displaceable relative to one another by a length of up to approximately 5 cm or of up to approximately 35 cm along their longitudinal directions.

24. (amended) An endoscope in accordance with claim 18, characterised in that the endoscope has a Bowden cable (23) by which the endoscope can be actively swivelled in the direction of its larger cross-sectional dimension; and/or in that at least one separate light transmission passage (17) and at least one separate image transmission passage (19) are provided as the light/image transmission passages.





**MARKED-UP VERSION OF THE CHANGES TO THE CLAIMS**

3. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the holding device has at the distal end of the fibroscope part (11) for the acceptance of the additional instrument (25) a loop (27) to engage around the additional instrument (25) and whose free length can preferably be actively modified.

5. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that a groove (49) or a holding clamp (53), on the one hand, and a corresponding rail (47) or corresponding rail segments, on the other, are provided as a holding device at the fibroscope part (11) and at the additional instrument (25), or vice versa.

6. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that at least one permanent magnet (55) and at least one counter-element (25) made of permanently magnetic or magnetic material are provided as a holding device at the fibroscope part (11), on the one hand, and at the additional instrument (25) on the other, or vice versa.

8. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that a catch element (61) and a hook device (59) are provided as the holding device at the fibroscope part (11), on the one hand and at the additional instrument (25) on the other, or vice versa.

10. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that at least one fastening hoop (29) is provided along the insertion section (13) of the endoscope and spaced from its distal end for the guidance of the additional instrument (25).

11. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that a jacket hose (31) or a side cover (45) is provided at the fibroscope part (11) as a holding device for the acceptance of the additional instrument (25), said jacket hose (31) or side cover (45) extending along the whole insertion section or along a part of the insertion section (13) of the endoscope.

14. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the cross-section (43) of the insertion section (13) is matched to the body orifice (41); and/or in that the endoscope is formed as a pharyngo-oesophago-gastroscope for the examination of the pharynx, oesophagus and/or stomach, wherein the cross-section (43) of the insertion section (13) is matched to the cross-section of a human meatus of the nose (41).

15. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the cross-section dimension of the insertion section (13) is larger in one direction, in particular larger at least by a factor of 1.5, than in a direction orthogonal thereto; and/or in that the cross-section (43) of the insertion section (13) corresponds to an isosceles triangle or a mirror-symmetrical trapezium, each with rounded corners and preferably with a base length of at most approximately 3.5 mm.

16. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the additional instrument (25) is formed by biopsy forceps, an aspirator/injector probe, a pH probe, a pressure measuring instrument and/or a Bilitec measuring probe; and/or in that the maximum cross-section dimension of the additional instrument (25) amounts to at most approximately 3 mm and preferably at most approximately 2 mm.

17. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the additional instrument (25) is provided laterally spaced with respect to the centre of the cross-section (43) of the insertion section (13); and/or in that the fibroscope part (11) and the additional instrument (25) are displaceable relative to one another by a length of up to approximately 5 cm or of up to approximately 35 cm along their longitudinal directions.

18. (amended) An endoscope in accordance with [any of the preceding claims] claim 1, characterised in that the endoscope has a Bowden cable (23) by which the endoscope can be actively swivelled in the direction of its larger cross-sectional dimension; and/or in that at least one separate light transmission passage (17) and at least one separate image transmission passage (19) are provided as the light/image transmission passages.

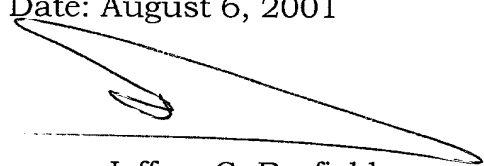
## DECLARATION

I, Jeffrey C. Barfield of Ahornstrasse 17, 82377 Penzberg, Germany, do hereby declare that I am conversant with the English and German languages and that I am a competent translator thereof.

I verify that the attached English translation is a true and correct translation of the international patent application with the application number PCT/EP00/01200 as originally filed.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: August 6, 2001

A handwritten signature in black ink, appearing to read 'Jeffrey C. Barfield', written over a horizontal line.

Jeffrey C. Barfield

### An endoscope

5 The invention relates to a deformable endoscope that has one or more light/image transmission passages and in which at least one additional instrument is provided.

10 Gastroscopes are known, for example, which are inserted via the patient's mouth into the oesophagus and stomach for a gastroscopy. Such gastroscopes have a central work passage into which small biopsy forceps, for example, can be inserted as an additional instrument in order to take a tissue sample from the stomach or oesophagus under observation via the light/image transmission passage.

15 The disadvantage of these known endoscopes is that their application possibilities are limited to an unwanted extent and that their use is a strain on the patient. In particular, a prior medicamentous sedation is required for the insertion of known endoscopes. An unintended injury to the patient, for example to the pharyngeal mucosa, by an orally inserted gastroscope cannot always be avoided.

20 It is an object of the invention to provide an endoscope that offers a wider range of applications than known endoscopes with a lower risk of injury and a more pleasant subjective perception by the patient.

25 This object is satisfied for an endoscope of the kind first mentioned by the unit of endoscope and additional instrument having a non-round cross-section along a longitudinal section (insertion section) to be inserted into a human or animal body orifice; by, furthermore, the light/image

transmission passage or the plurality of light/image transmission passages forming – in particular together with at least one work passage – a closed unit (fibroscope) which can be separated from the additional instrument; by, furthermore, the fibroscope part and the additional instrument being displaceable relative to one another along their longitudinal directions; and by a holder device being provided for the holding and/or guiding of the fibroscope part and the additional instrument relative to one another.

The invention thus departs from the long traditional view that endoscopes have to be provided with an essentially circular cross-section. The cross-section of the insertion section of the endoscope in accordance with the invention is not rotationally symmetrical so that the endoscope can be better matched to the intended applications.

The cross-section of the insertion section is preferably matched to the cross-section of the body orifice into which the endoscope should be inserted and which generally does not have a round cross-section. The utilisation of space can thereby be optimised, in particular at the narrowest point of the body for the insertion of the endoscope. This results in a more pleasant subjective perception by the patient and there can be more space available in the patient's body for the desired diagnostic or therapeutic measure. A particular advantage of such a matching to the cross-section lies in the fact that a medicamentous sedation, relaxation or anaesthisation can be omitted in many applications since defence reflexes of the patients can be avoided more easily due to the optimised utilisation of the cross-section and the space.

Since the cross-section of the endoscope does not have a round shape, an advantageous stability of the inserted endoscope can furthermore be achieved with respect to unwanted twisting with respect to its longitudinal direction. An unwanted twisting of the endoscope can result in the course of the insertion procedure with the round cross-section of known endoscopes. If, however, the endoscope is – as is provided by the invention – formed asymmetrically in cross-section, the shape of the body orifice into which the endoscope is inserted can be utilised to block a twisting of the inserted endoscope. This facilitates the further insertion of the endoscope and reduces the risk of injury.

The design of the endoscope of the invention can be realised by the additional instrument being arranged – contrary to the design of known endoscopes – at a non-central position within the cross-section of the insertion section. In particular, a work passage provided for the reception of the additional instrument can be provided not at a central position, but in a lateral, eccentric arrangement with respect to the longitudinal access of the endoscope.

The embodiment of the endoscope of the invention can also be achieved by the dimension of the cross-section of its insertion section being much larger in one direction than the dimension in a direction orthogonal thereto, for example by a factor of at least 1.5. The cross-section can, for example, correspond approximately to an isosceles triangle or a mirror-symmetrical trapezium whose corners are rounded in each case.

In a preferred embodiment, the endoscope is formed as a pharyngo-oesophago-gastroscope for the examination of the pharynx, the

oesophagus or the stomach of the patient, by the cross-section of the insertion section of the whole endoscope being matched to the cross-section of a meatus of the nose. Such an endoscope can – in contrast to conventional gastroscopy – be inserted into the pharynx and the

5 oesophagus of the patient via a meatus of the nose.

The pharingo-oesophago-gastroscope of the invention offers the following advantages, among others:

- 10 a) in the nasal insertion into the pharynx and into the oesophagus, an injury to the patient, in particular to the pharyngeal mucosa, can be avoided particularly easily due to the simultaneous optical monitoring via the light/image transmission passage and due to the deformability of the pharingo-oesophago-gastroscope;
- 15 b) in contrast to the oral insertion of a known gastroscope, the nasal insertion of the endoscope of the invention does not produce any defence reflexes, in particular no biting reflexes, in the patient;
- 20 c) in contrast to conventional gastroscopy, no local medicamentous sedation of the larynx region is required so that the patient is not affected in this respect by the use of the endoscope of the invention and can leave the doctor's surgery or the clinic without problems after the application without having to wait for a sedation measure to wear
- 25 off;
- d) when the pharingo-oesophago-gastroscope of the invention is used, there are also lower personnel requirements since the assistance of a

nurse and a helper (second nurse) can be dispensed with and no anaesthetist is required either. The use of the pharyngo-oesophago-gastroscope can be carried out by one single doctor with his practice assistant. A specifically fitted endoscopy room (operating theatre) is not necessary. The use of the pharyngo-oesophago-gastroscope rather takes place in a normal examination chair in the doctor's surgery. This naturally considerably reduces the application costs;

- e) due to the optimised cross-section of the pharyngo-oesophago-gastroscope of the invention and its thus comparatively small cross-sectional area, it is perceived by the patient as more pleasant than a conventional gastroscope which usually has a circular cross-section of approximately 11 mm and thereby takes up unnecessary dead room. A contribution to this more pleasant perception is also made by the fact that the pharyngo-oesophago-gastroscope is inserted nasally so that the comparatively sensitive mouth area remains free of foreign bodies;
- f) the pharyngo-oesophago-gastroscope inserted through a single meatus of the nose allows the patient to continue to breathe both through the mouth and through the nose, namely through the non-occupied meatus of the nose;
- g) the pharyngo-oesophago-gastroscope inserted nasally into the pharyngeal cavity can be further guided into the oesophagus in a particularly simple, pleasant and non-hazardous manner when the patient simultaneously drinks a liquid, for example water. This is possible without problem due to the free mouth area. The drinking process can be tracked by the physician via the light/image



transmission passage. When a swallowing procedure takes place, the pharingo-oesophago-gastroscope is guided past the larynx and the oesophagus sphincter into the oesophagus;

- 5 h) the nasally inserted endoscope allows a study which is close to reality of the swallowing motor system, the contraction movements of the oesophagus muscles (peristole) and the associated sphincter. These examinations can take place while using the additional instrument and with simultaneous monitoring via the light/image transmission  
10 passage. Such functional analyses can take place both when drinking a liquid and when ingesting solid food. A lower falsification of the examination results is found than with known gastroscopes since the mouth area is not blocked by the nasally inserted pharingo-oesophago-gastroscope and since the pharingo-oesophago-gastroscope  
15 has a lower, and thus less disturbing, cross-sectional area due to its optimised cross-section.
- i) the use of the endoscope of the invention is not limited to diagnostic procedures, but also opens up new possibilities to study the human or  
20 animal body. For example, the pharingo-oesophago-gastroscope matched to the meatus of the nose, allows the exploration of the new area of study of so-called somnoscopy:

25 Since the nasally inserted endoscope continues to give the patient the opportunity to breathe through the mouth or nose and since it is also not felt to be particularly unpleasant by the patient, the endoscope can be left in the patient's body overnight without problem in order to carry out studies taking several hours. For example, a pH measuring

probe can be used as the additional instrument of the endoscope of the invention and can determine the pH overnight at a plurality of points along the oesophagus and in the stomach in order to check whether unexpected local or temporal anomalies occur in comparatively undisturbed and stationary conditions. Of course, the possibility of an optical control via the light/image passage is maintained with such an application.

When the endoscope is formed as a pharyngo-oesophago-gastroscope, it is preferred if the base length of the basic form of the cross-section, that is, for example, the explained triangular or trapezoidal shape, amounts to a maximum of 3.5 mm. Exhaustive studies have namely shown that with such a dimension, the insertion of the endoscope into a meatus of the nose is still basically possible with all patients. It is moreover preferred if the maximum cross-section of the respective additional instruments amounts to at most approximately 3 mm, in particular a maximum of approximately 2 mm so that the additional instrument can be guided, together with the remaining pharyngo-oesophago-gastroscope, through a meatus of the nose of any patient without problem. The cross-section of the additional instrument can, however, also be matched to the individual maximum cross-section of the patient's meatus of the nose. The typical overall length of the pharyngo-oesophago-gastroscope amounts, for example, to 76 cm.

The invention can also be realised in a tracheo-bronchoscope for the examination of the trachea and the bronchial tubes. The advantages of the pharyngo-oesophago-gastroscope explained above also apply with such a tracheo-bronchoscope.

The endoscope of the invention is made up of a plurality of parts, namely of a fibroscope part, which includes, as a closed unit, the light/image transmission passage(s) and preferably one or more work passages, and of the one or more additional instruments. Since the additional instrument can, as it were, be set on the fibroscope part, this embodiment can also be termed a "pick-a-back system" (cf. Fig. 1). The additional instrument is here preferably located outside the actual fibroscope part.

The additional instrument can be set on the fibroscope part or, vice versa, the fibroscope part on the additional instrument in dependence on the cross-sectional area and shape of the body orifice, for example the meatus of the nose, and the additional instrument can have a smaller or larger cross-section than the fibroscope part.

The fibroscope part and the additional instrument(s) can be displaced relative to one another along their respective longitudinal directions (so-called "shuttle system"). A flexible handling and a variable radius of action of the relevant additional instrument results in this manner. For example, when biopsy forceps are used as the additional instrument, a displaceability of approximately 5 cm can be sufficient to, on the one hand, retract the biopsy forceps relative to the fibroscope part to avoid hindering the monitoring of the insertion procedure via the light/image transmission passage and to, on the other hand, extend the biopsy forceps relative to the stationary fibroscope part in a distal direction for the purpose of taking a sample.

A relative displaceability of approximately 35 cm can prove to be advantageous, in particular for long-term examinations where, with a stationary additional instrument, for example a pH measuring probe, only the fibroscope part should be displaced in order to carry out an optical  
5 monitoring at a certain pH measuring point without thereby disturbing or falsifying the continuing measurement with the pH measuring probe.

To achieve a connection of the fibroscope part and the additional instrument(s) to form a unit, a holding device is provided at the fibroscope  
10 part or at the relevant additional instrument. The fibroscope part and the additional instrument(s) can preferably be alternatively fixed to one another or released from one another by means of the holding device such that a relative movement between the fibroscope part and the additional instrument is possible.

For example, a loop – for example of nylon – can be provided as the holding device at the distal end of the endoscope relative to the operating physician and an additional instrument be held or guided therein. It is possible to allow the loop to project out of a central or a lateral opening at  
20 the distal end of the fibroscope part.

In particular, a work passage of, for example 1 mm, can be provided at the fibroscope part and fixation forceps of a diameter of, for example, 0.8 mm be guided therein, which engage at a closed loop of an overall length of  
25 approximately 60 mm. When this loop projects out of the work passage and engages around an additional instrument there, the relevant additional instrument can be fixed at the distal end of the fibroscope part

or released for a relative movement respectively via the loop by a withdrawal or insertion of the fixation forceps.

As an alternative to this, it is possible to provide within a work passage of the fibroscope part a comparatively long loop which engages an additional instrument at the distal end of the endoscope and is operated, in particular tightened or released, by the physician at the proximal end of the endoscope (cf. Figs. 5, 6a, 6b). The fixation forceps explained above can be dispensed with inside the work passage in this manner.

With appropriate use of the principles explained above, it is moreover possible to use a line guided through a work passage instead of a loop, said line being fixedly connected to the relevant additional instrument. It can be prevented in this way that the additional instrument unintentionally leaves the engagement by the loop when the loop is released.

In the embodiment of the endoscope of the invention with the fibroscope part and the additional instrument separated from one another, it is furthermore preferred if one or more fastening hoops are provided along the insertion section of the fibroscope part as holding devices in which one or more additional instruments are respectively guided by loose engagement.

Alternatively or additionally to the use of a loop, a jacket hose surrounding the fibroscope part completely or in part (cf. Figs. 7a to 7c) or a side cover shaped or fastened laterally at the fibroscope part (cf. Figs. 6a, 6b) can be provided for the reception of the additional instrument. The jacket hose or

the side cover can be formed of plastic and/or elastically. Furthermore, the jacket hose or the side cover can be provided along the whole insertion section of the endoscope or along only one or more parts thereof.

- 5 In the event that the jacket hose or the side cover extends only along a part section of the endoscope, the jacket hose or the side cover can be formed displaceably with respect to the fibroscope part. It can thereby be ensured, for example in the use of the endoscope as a pharyngo-oesophago-gastroscope, that the jacket hose or the side cover is always  
10 arranged within the meatus of the nose and extending up to the pharyngeal cavity, and indeed irrespective of the penetration depth of the fibroscope part.

- If, for example in the nasal insertion of the pharyngo-oesophago-gastroscope, the displaceable jacket hose is first arranged at the front end, that is at the distal end of the fibroscope part, the jacket hose can maintain its position in the meatus of the nose from a certain penetration depth of the fibroscope part onwards, while the fibroscope part is inserted even further, for example for the observation of the oesophagus. Even if  
15 the fibroscope part is subsequently retracted appropriately for the observation of the pharynx, the jacket hose maintains its position and is thus again arranged along the distal end of the fibroscope part. Only if the fibroscope part is completely pulled out of the meatus of the nose, is the jacket hose simultaneously taken along by the distal end of the fibroscope  
20 part.  
25

The particular advantage of this embodiment lies in the fact that in each of the said states an additional instrument can be inserted without a

problem and without pain along the fibroscope part, through the jacket hose and via the meatus of the nose into the pharyngeal cavity. The jacket hose namely prevents an injury to the meatus of the nose and to the pharyngeal cavity by the additional instrument, and the distal end of the additional instrument cannot unintentionally release from the fibroscope part due to the curvature to be overcome.

The explained displaceability of the jacket hose can be realised by the jacket hose being able to be displaced with respect to both the fibroscope part and the additional instrument and covers the fibroscope part and optionally the additional instrument as a loose hose section. The side cover can, for example, be displaceably connected to the fibroscope part via a simple or a double rail-groove connection. Moreover, an abutment element, for example an annular broadening, can be provided at the proximal end of the jacket hose or the side cover in order to prevent a complete disappearance into the meatus of the nose. The endoscope can furthermore have a fixation device, for example a screw, via which the jacket hose or the side cover can be temporarily fixed with respect to the fibroscope part.

At least one groove and a rail corresponding thereto can furthermore be provided as the holding device to hold or guide the fibroscope part and the additional instrument and can respectively extend along the whole insertion section of the endoscope or along one or more parts thereof (cf. Figs. 8, 9). One or more holding clamps can also be provided at the fibroscope part or at the additional instrument instead of the groove (cf. Figs. 12, 13).

The holding device provided at the fibroscope part and the additional instrument can also be formed by one or more permanent magnets, for example made of an iron or nickel alloy, which cooperate with at least one counter-element made of a permanently magnetic or magnetic material,  
5 for example steel, aluminium or titanium.

In a particularly simple embodiment, this counter-element can be formed by the additional instrument or the fibroscope part itself. It is moreover possible to provide the permanent magnets or the counter-elements at a  
10 plurality of sections of the fibroscope part or of the additional instrument. The permanent magnet, the counter-element or both can have a covering of plastic to avoid a direct contact of the magnetic materials used and to reduce both the static friction and the sliding friction prevailing between the additional instrument and the fibroscope part.

Furthermore, a catch element and a hook element can be provided as the holding element at the fibroscope part, on the one hand, and at the additional instrument, on the other hand, or vice versa. It is possible in this way to insert, in particular to pull the hooked additional instrument  
15 along into the body orifice by means of the fibroscope part. The additional instrument can subsequently be released in order to carry out movements and examinations independent of the position of the fibroscope part.

The catch element and the hook element are preferably each arranged at  
25 the distal end of the fibroscope part or the additional instrument respectively. The hook device can be formed, for example, by a laterally projecting undercutting lug and the catch element by a laterally projecting button lug engaging as required into the undercutting lug. If the catch



element and the hook device are formed in a manner flattening towards the proximal end of the endoscope, the pulling of the fibroscope part or of the additional instrument out of the body orifice can take place particularly easily and safely.

5

It is naturally also possible to combine a plurality of the said holding devices at one single endoscope.

10

The object underlying the invention is also satisfied for an endoscope of the kind initially mentioned by the unit of endoscope and additional instrument having a non-round cross-section along a longitudinal section (insertion section) to be inserted into a human or animal body orifice and by the light/image transmission passage or the plurality of light/image transmission passages and the additional instrument forming a closed unit (cf. Fig. 10).

15

A non-round cross-section of the insertion section, which is in particular matched to the cross-section of the body orifice or a meatus of the nose, is therefore also provided with this embodiment.

20

However, this endoscope forms, optionally together with one or more work passages, a closed unit so that when the endoscope is used for its intended purpose, the additional instrument is not separated from its own fibroscope part. This closed unit can be surrounded along its insertion section by a common jacket hose, for example of plastic, and it differs outwardly from a conventional gastroscope in particular by a non-round cross-section of the insertion section. The additional instrument can

25

naturally also be formed displaceably with respect to the remaining endoscope in this embodiment (cf. Fig. 11a).

Each of the embodiments of the endoscope of the invention explained  
5 above can have at least one work passage into which an auxiliary means or an additional instrument can be inserted or which can serve for the carrying out of lavages or for the aspiration of bodily fluids.

The additional instrument named in connection with the invention can,  
10 but need not, form a part of the endoscope of the invention. Biopsy forceps, an aspirator/injector probe, a pH measuring probe, a manometric probe – for example for the examination of the oesophagus peristole –, a Bilitec measuring probe for the measurement of the bilirubin content, a laser probe or other surgical instruments for therapeutic measures can be  
15 provided as the additional instrument. Moreover, a plurality of additional instruments, for example biopsy forceps and a pH measuring probe, can also be provided as a result of the optimised space utilisation of the endoscope of the invention.

20 The known division into a light transmission passage on the one hand and an image transmission passage on the other hand can naturally be provided for the light/image transmission passage(s). For example, one or more light guides can form a light transmission passage. The image transmission passage can likewise be formed by light guides and  
25 associated optical systems or it can have an opto-electronic image converter at the distal end of the endoscope and corresponding electrical supply and transmission lines.

The endoscope of the invention can furthermore have one or more Bowden cable systems for the active lateral alignment. In this case, the swivel direction can coincide with the direction of the larger or the smaller cross-sectional dimension of the endoscope. With an endoscope to be inserted

5 nasally, it is of advantage with respect to the change in direction required for the passage through the pharyngeal cavity if the endoscope can be actively swivelled at least in the direction of the larger cross-section dimension of the endoscope.

10 The endoscope of the invention, with its special advantages, can be used in many areas of endoscopy, for example in bronchoscopy. An advantageous application possibility also lies in the rinsing of body cavities, for example of the Eustachian tube or the maxillary sinus. The invention can furthermore be used in surgery, in particular for the

15 carrying out of sterile work with the aid of additional instruments with simultaneous optical monitoring.

Further preferred embodiments of the endoscope can be found in the dependent claims. The invention is described below by way of example

20 with reference to the drawings, in which are shown:

Figs. 1, 8, 10,  
12, 14 and 16      schematic side views respectively of an endoscope of the invention

25 Figs. 2, 6b, 9, 11a  
11b and 13      in each case a section along the plane II-II of Fig. 1, the plane VI-VI of Fig. 6a, the plane IX-IX of Fig. 8, the

plane XI-XI of Fig. 10, the plane XI-XI of Fig. 10 or  
along the plane XIII-XIII of Fig. 12;

Figs. 3 and 3b detailed views of the region III of Fig. 1;

5

Fig. 4 a schematic front section through a human nose;

Figs. 5 and 6a detailed views corresponding to Figs. 3a and 3b  
respectively of a further endoscope;

10

Figs. 7a to 7c sectional views corresponding to Fig. 2 of further  
endoscopes;

Figs. 15a, 15b, 15c

15

and 15d in each case a section along the plane XV-XV of Fig. 14  
for different embodiments;

Fig. 17 a detailed view of the region XVII of Fig. 16; and

20

Fig. 18 the lower side of the distal end of the additional  
instrument of Fig. 16 in a detailed view.

Fig. 1 shows a side view of a pharingo-oesophago-gastroscope of the  
invention. This has a fibroscope 11 with an elongate insertion section 13  
and a proximal operating part 15. The open ends of two light transmission  
passages 17 and of an optical image transmission passage 19 as well as  
the ends – on the operator side – of fixation forceps 21 and a Bowden  
cable 23 are shown at the operating part 15, with their respective extents

25

within the insertion section 13 of the fibroscope 11 not being shown in Fig. 1.

The endoscope of Fig. 1 further has an additional instrument 25 in the form of elongate biopsy forceps. This additional instrument 25 is connected to the fibroscope 11 at the distal end of the endoscope via a loop 27 and close to the operating part 15 by means of a fastening hoop 29.

Fig. 2 shows in a section through the insertion section 13 of the endoscope of Fig. 1 that the fibroscope 11 has a flexible jacket hose 31 as an outer cover and a central work passage 33 in which the fixation forceps 21 are guided. The Bowden cable 23 is not shown here.

Figs. 3a and 3b each show detail views of the region III of Fig. 1, that is of the distal end of the endoscope. The fixation forceps 21 guided in the work passage 33 hold the loop 27. This projects out of the fibroscope 11 and engages loosely around the biopsy forceps 25 to allow a relative movement of the fibroscope 11 and the biopsy forceps 25 (Fig. 3a). The loop 27 is pulled deeper into the work passage 33 by pulling back the fixation forceps 21 relative to the fibroscope 11 so that the free length of the loop 27 is reduced and the biopsy forceps 25 are fixed at the distal end of the fibroscope 11 (Fig. 3b). A different kind of loop holding can naturally also be provided instead of the fixation forceps 21.

The endoscope of Figs. 1 to 3b formed by the fibroscope 11 and the additional instrument 25 can be inserted into the pharynx and subsequently into the oesophagus and the stomach of a patient via a

meatus of the nose. This manner of application is described in the following with reference to Fig. 4. This shows a frontal section of a nose having a nasal septum 35, two middle conchae 37 and two inferior conchae 39. The inferior nasal conchae 39 and the nasal septum 35 each bound an inferior meatus of the nose 41. An endoscope can be inserted into such an inferior meatus of the nose 41, preferably into the respectively larger one.

Due to the ultimately elongate cross-section of the meatuses of the nose 41, an endoscope of comparatively large cross-section 43 can be inserted if this cross-section – as shown in Fig. 4 – does not have a circular shape, but a shape adapted to the relevant body orifice 41. In other words, more or larger additional instruments or light/image transmission passages can be inserted through the meatus of the nose with the endoscope formed in this manner than with a conventional fibroscope of round cross-section due to the improved utilisation of area.

The overall cross-section of the endoscope of Fig. 1 is accordingly not circular, but – as visible from Fig. 2 for the unit of fibroscope 11 and additional instrument 25 – modelled on the cross-section 43 shown in Fig. 4. The matched cross-section of the endoscope also gives this stability with respect to an unwanted rotation around its longitudinal axis. This is in particular of advantage with an active curvature and alignment of the endoscope by means of a Bowden cable.

If therefore the endoscope of Fig. 1 is inserted – as shown for the cross-section 43 in Fig. 4 – via the meatus of the nose 41, then the flexible additional instrument 25 can be guided from the distal end of the

fibroscope 11 via the loop 27, and indeed by a corresponding actuation of the Bowden cable 23. At the same time, the relevant body region can be illuminated in a known manner via the light transmission passages 17 and be observed via the image transmission passage 19 and a  
 5 corresponding optical system and video technique.

It must be noted with reference to the endoscope of Fig. 1 that while it is also possible to take a sample via the fixation forceps 21 guided in the central work passage 33, the work passage 33 of the fibroscope 11  
 10 typically has an inside diameter of only 1 mm. The fixation forceps 21 of accordingly less than 1 mm outside diameter can only take mucous tissue. In contrast, the biopsy forceps 25 of the endoscope of the invention can also take samples from deeper tissue layers due to its larger diameter.

15 Further embodiments of the endoscope of the invention are described in the following with reference to Figs. 5 to 18, with same or similar elements as in Figs. 1 to 3b each being characterised by the same reference numerals.

20 The embodiment of Fig. 5 differs from the endoscope of Fig. 1 in that the loop 27 is not held by a separate pair of fixation forceps 21, but is ultimately guided in one line through the work passage 33 up to an operating part (not shown). The work passage 33 does not open at the distal end face here, but at a side section of the distal end of the  
 25 fibroscope 11.

A single loop 27 without fixation forceps is also provided in the embodiment of Fig. 6a. The loop 27 is – in contrast to Fig. 5 – not guided

in a central work passage, but within a side cover 45 shaped at the fibroscope 11. This principle is also illustrated in the frontal sectional view of Fig. 6b. The chamfered opening of the side cover 45 at the distal end of the fibroscope 11 can be closed by an end piece (dummy) if the additional instrument 25 and thus the loop 27 are not required.

Fig. 7a shows a further endoscope of the invention in a cross-sectional view of its insertion section. A fibroscope 11 and an additional instrument 25 are also provided here as substantially separate components which are connected to one another via an elastic common jacket hose 31. As can be seen from Fig. 7b, this jacket hose 31 compresses on the removal of the additional instrument 25 in order to serve as an outer cover of only the fibroscope 11.

It is shown in Fig. 7c that the jacket hose 31 – similar to the side cover 45 of Figs. 6a and 6b – can also be provided only at one part of the periphery of the fibroscope 11 in order to optionally accept an additional instrument there.

In the endoscope of Fig. 8, a fibroscope 11 and an additional instrument 25 are formed in the form of an aspirator/injector probe as units which are independent of one another and which are only connected to one another by a common holding device. As the sectional view of Fig. 9 shows, this holding device is formed by a guide lug in the form of a rail 47 formed at the additional instrument 25 and, on the fibroscope 11 side, by a corresponding groove 49. The groove 49 extends along the longitudinal direction of the fibroscope 11 by a much larger length than the length of the rail 47 so that a longitudinal displacement of the fibroscope 11 and



the additional instrument 25 relative to one another is only possible within pre-determined limits.

With this endoscope, a stabilising device can be provided outside the body,  
 5 in particular close to the operating part 15, for the additional stabilisation of the fibroscope 11 and the additional instrument 25. This stabilisation device can likewise be formed by a groove-rail system and can preferably be clipped to the fibroscope 11 and/or the additional instrument 25.

10 Fig. 10 furthermore shows an endoscope which, in contrast to the embodiments of Figs. 1 to 9, is formed as a single closed unit and whose insertion section 13 has, in accordance with the invention, a non-circular cross-section. An additional instrument 25 – for example biopsy forceps –  
 15 is provided for this endoscope which can have a much larger cross-sectional diameter in comparison to additional instruments of corresponding conventional endoscopes. This is possible since the non-rotationally symmetrical cross-section of the endoscope allows a more flexible arrangement of the additional instrument 25, of the light/image transmission passages 17, 19 and of the Bowden cable 23 inside the  
 20 insertion section 13, with the additional instrument 25 not necessarily being arranged at a central point.

The endoscope of Fig. 10 can, for example, have the cross-section shown in Fig. 11a. Here, a light transmission passage 17, an image transmission  
 25 passage 19 and an additional instrument 25 guided inside a work passage 33 form a unit which is surrounded by a jacket hose 31 and which is similar to an isosceles triangle or a trapezium with rounded corners.

The cross-section of Fig. 11b likewise possible for the endoscope of Fig. 10 has a comparable, substantially triangular shape. The additional instrument 25 is here fixedly integrated in the endoscope which can nevertheless – as visible in Fig. 10 – be deformed and laterally aligned. In the special embodiment of Fig. 11b, two light transmission passages 17, an image transmission passage 19 and a separate rinsing passage 51 are furthermore provided.

Fig. 12 in turn shows an embodiment having an additional instrument 25 independent of the fibroscope part 11. The additional instrument 25 has – similar to the rail-groove connection of Figs. 8 and 9 – a plurality of holding clamps 53 which are distributed at equal intervals over its length and which each project laterally from the additional instrument 25 and engage around a rail 47 formed along the fibroscope part 11. As can be seen from the cross-sectional view of Fig. 13, the holding clamps 53 have a C-shaped cross-section and the rail 47 has a T-shaped cross-section.

A side cover 45, which facilitates the placing of the additional instrument 25 onto the rail 47 of the fibroscope part 11 and supports the insertion of the fibroscope part 11 with the fitted additional instrument 25 into the relevant body orifice, in particular the meatus of the nose, is formed on the fibroscope part 11 along a proximal section thereof. The additional instrument 25 fitted in this way can be moved as desired and without limitation along the fibroscope part 11. The additional instrument 25 can in particular be subsequently pushed in when the fibroscope part 11 is already inserted into the body orifice, with the fibroscope part 11 providing the required guidance.

In the endoscope of Fig. 14, biopsy forceps made of a magnetisable metal are provided as the additional instrument 25. As can be seen from the cross-sectional view of Fig. 15a, a permanent magnet 55 is integrated in the fibroscope part 11 which can magnetically attract the additional instrument 25. The additional instrument 25 is guided along the fibroscope part 11 due to this magnetic cooperation.

The additional instrument 25 is guided by a side cover 45 along a proximal part of the fibroscope part 11 for additional guidance and stabilisation. The permanent magnet 55 is provided only section-wise along a distal part of the fibroscope part 11 such that an intentional release of the distal end of the additional instrument 25 from the fibroscope part 11 is possible at the relevant sections.

Since the jacket hose 31 surrounding the fibroscope part 11 and merging into the side cover 45 also serves as a covering 57 of the permanent magnet 55, the friction between the fibroscope part 11 and the additional instrument 25 is reduced and the relative movability increased.

Fig. 15b shows the cross-sectional view of a modified embodiment in which the side cover 45 is fastened to the jacket hose 31 via a double rail-groove connection and can thus be displaced along the whole fibroscope part 11. The side cover 45 can in this way always be left in the meatus of the nose 41 (Fig. 4) of the patient irrespective of the insertion depth of the fibroscope part 11 in order to facilitate the subsequent guiding of the additional instrument 25 without pain or injury. The rails of the side cover 45, which are T-shaped in cross-section, extend over the whole length of the side cover 45. The corresponding grooves at the fibroscope part 11

extend along the whole insertion section 13 up to just before the distal end of the fibroscope part 11.

Fig. 15c shows a further embodiment in which the side cover 45 is shaped at the jacket hose 31. In contrast to the embodiment of Fig. 15a, the unit of side cover 45 and jacket hose 31 is here pulled loosely over the fibroscope part 11 so that this unit can be displaced relatively to both the fibroscope part 11 and the additional instrument. A stopper (not shown) at the distal end of the fibroscope part 11 can prevent an unintentional release from the fibroscope part 11.

Fig. 15d shows a further example corresponding to the embodiment of Fig. 15b of a rail-groove connection of a jacket hose 31 with a separate side cover 45 which is only provided along a part section of the fibroscope part 11 and can be displaced relatively thereto. In this example, a rail is provided on the fibroscope part 11 side, while a corresponding groove is arranged at the side cover 45. This reversed arrangement of rail and groove can reduce the risk of injury in some applications.

In the embodiment of Fig. 15d, the rail is moreover formed by the actual permanent magnet 55 rounded in cross-section. A volume reduction is thereby achieved within the fibroscope part 11 and the additional instrument 25 can contact the permanent magnet 55 directly at least outside the side cover 45 in favour of a higher magnetic attraction.

Finally, it is alternatively possible in the embodiment of Fig. 15d for the side cover 45 to engage around the only rail 55 in the manner of a clamp

without a covering web 57 such that the additional instrument 25 can also lie directly on the rail 55 within the side cover 45.

Figs. 16 and 17 show an endoscope having a manometric probe as the additional instrument 25. The distal end thereof is hooked to the distal end of the fibroscope part 11. A hook device 59 in the form of a laterally projecting undercutting lug is provided at the additional instrument 25 for this purpose and grips under, and partly around, a catch element 61 of the fibroscope part 11. The catch element 61 is formed by a button lug which projects laterally from the fibroscope part 11 and which is inserted along the longitudinal direction of the additional instrument 25 into an elongate slot 63 formed at the undercutting lug 59. The position of the hooked catch element 61 can be seen from Fig. 18, which shows the lower side of the additional instrument 25 in a detailed view.

The additional instrument 25 hooked in this way can be inserted into the body orifice jointly with the fibroscope part 11. If the additional instrument 25 is thereafter pushed beyond the fibroscope part 11, the catch element 61 leaves the hooking device 59. As a result, it is possible to work with the additional instrument 25 independently of the fibroscope part 11 and the additional instrument 24 can be removed from the body orifice again, in particular independent of the fibroscope part 11.

---

Reference numeral list

5	11	fibroscope
	13	insertion section
	15	operating part
	17	light transmission passage
	19	image transmission passage
10	21	fixation forceps
	23	Bowden cable
	25	additional instrument
	27	loop
	29	fastening hoop
15	31	jacket hose
	33	work passage
	35	nasal septum
	37	middle concha
	39	inferior concha
20	41	inferior meatus of the nose
	43	cross-section
	45	side cover
	47	rail
	49	groove
25	51	rinse passage
	53	holding clamp
	55	permanent magnet
	57	covering

```
59 hook device
61 catch element
63 elongate slot
```

**Claims**

1. A deformable endoscope that has one or more light/image  
transmission passages (17, 19) and in which at least one additional  
instrument (25) is provided, wherein the unit of endoscope (11) and  
additional instrument (25) has a non-round cross-section (43) along  
a longitudinal section (13) (insertion section) to be introduced into a  
human or animal body orifice (41); wherein furthermore the  
light/transmission passage or the plurality of light/image  
transmission passages (17, 19) – in particular together with at least  
one work channel (33) – form a closed unit (11) (fibroscope part)  
which can be separated from the additional instrument (25),  
wherein furthermore the fibroscope part (11) and the additional  
instrument (25) are displaceable relative to one another along their  
longitudinal directions; and wherein a holding device is provided for  
the holding/and or guiding of the fibroscope part (11) and the  
additional instrument (25) relative to one another.
2. An endoscope in accordance with claim 1, characterised in that the  
additional instrument (25) can be alternatively fixed at the  
fibroscope part (11) by means of the holding device or released from  
the fibroscope part (11) to allow a relative movement along the  
longitudinal direction of the additional instrument (25) and the  
fibroscope part (11).
3. An endoscope in accordance with any of the preceding claims,  
characterised in that the holding device has at the distal end of the  
fibroscope part (11) for the acceptance of the additional instrument



(25) a loop (27) to engage around the additional instrument (25) and whose free length can preferably be actively modified.

4. An endoscope in accordance with claim 3, characterised in that the  
5 loop (27) is held by fixation forceps (21) which are guided in a work  
passage (33, 45) provided at the fibroscope part (11); or in that the  
loop (27) projects out of a work channel (33, 45) – at both the distal  
and proximal ends – provided at the fibroscope part (11).
- 10 5. An endoscope in accordance with any of the preceding claims,  
characterised in that a groove (49) or a holding clamp (53), on the  
one hand, and a corresponding rail (47) or corresponding rail  
segments, on the other, are provided as a holding device at the  
fibroscope part (11) and at the additional instrument (25), or vice  
15 versa.
6. An endoscope in accordance with any of the preceding claims,  
characterised in that at least one permanent magnet (55) and at  
least one counter-element (25) made of permanently magnetic or  
20 magnetic material are provided as a holding device at the fibroscope  
part (11), on the one hand, and at the additional instrument (25) on  
the other, or vice versa.
7. An endoscope in accordance with claim 6, characterised in that the  
25 counter-element is an integral part of the additional instrument (25)  
or of the fibroscope part (11); and/or in that the permanent magnet  
(55) is provided at a plurality of sections of the fibroscope part (11)  
or of the additional instrument; and/in or that the permanent

magnet (55) and/or the counter-element have a covering (57) of plastic.

8. An endoscope in accordance with any of the preceding claims,  
 5 characterised in that a catch element (61) and a hook device (59) are provided as the holding device at the fibroscope part (11), on the one hand and at the additional instrument (25) on the other, or vice versa.

- 10 9. An endoscope in accordance with claim 8, characterised in that the catch element (61) and/or the hook device (59) are each provided at the distal end of the fibroscope part (11) or of the additional instrument (25) respectively; and/or in that the catch element (61)  
 15 is formed by a laterally projecting button lug and the hook device (59) by a laterally projecting undercutting lug; and/or in that the catch element (61) and/or the hook device (59) are formed in a manner flattened towards the proximal end of the endoscope.

- 20 10. An endoscope in accordance with any of the preceding claims, characterised in that at least one fastening hoop (29) is provided along the insertion section (13) of the endoscope and spaced from its distal end for the guidance of the additional instrument (25).

- 25 11. An endoscope in accordance with any of the preceding claims, characterised in that a jacket hose (31) or a side cover (45) is provided at the fibroscope part (11) as a holding device for the acceptance of the additional instrument (25), said jacket hose (31)

or side cover (45) extending along the whole insertion section or along a part of the insertion section (13) of the endoscope.

12. An endoscope in accordance with claim 11, characterised in that the jacket hose (31) surrounds both the fibroscope part (11) and the additional instrument (25) and/or is elastically formed with respect to its diameter; and/or in that the jacket hose (31) or the side cover (45) is formed displaceably with respect to the fibroscope part (11), in particular due to a rail-groove connection (Fig. 15b).

13. A deformable endoscope that has one or more light/image transmission passages (17, 19) and in which at least one additional instrument (25) is provided, wherein the unit of endoscope (11) and additional instrument (25) has a non-round cross-section (43) along a longitudinal section (13) (insertion section) to be inserted into a human or animal body orifice (41), and wherein the light/image transmission or the plurality of light/image transmission passages (17, 19) and the additional instrument (25) form a closed unit.

14. An endoscope in accordance with any of the preceding claims, characterised in that the cross-section (43) of the insertion section (13) is matched to the body orifice (41); and/or in that the endoscope is formed as a pharyngo-oesophago-gastroscope for the examination of the pharynx, oesophagus and/or stomach, wherein the cross-section (43) of the insertion section (13) is matched to the cross-section of a human meatus of the nose (41).

15. An endoscope in accordance with any of the preceding claims, characterised in that the cross-section dimension of the insertion section (13) is larger in one direction, in particular larger at least by a factor of 1.5, than in a direction orthogonal thereto; and/or in that the cross-section (43) of the insertion section (13) corresponds to an isosceles triangle or a mirror-symmetrical trapezium, each with rounded corners and preferably with a base length of at most approximately 3.5 mm.
16. An endoscope in accordance with any of the preceding claims, characterised in that the additional instrument (25) is formed by biopsy forceps, an aspirator/injector probe, a pH probe, a pressure measuring instrument and/or a Bilitec measuring probe; and/or in that the maximum cross-section dimension of the additional instrument (25) amounts to at most approximately 3 mm and preferably at most approximately 2 mm.
17. An endoscope in accordance with any of the preceding claims, characterised in that the additional instrument (25) is provided laterally spaced with respect to the centre of the cross-section (43) of the insertion section (13); and/or in that the fibroscope part (11) and the additional instrument (25) are displaceable relative to one another by a length of up to approximately 5 cm or of up to approximately 35 cm along their longitudinal directions.
18. An endoscope in accordance with any of the preceding claims, characterised in that the endoscope has a Bowden cable (23) by which the endoscope can be actively swivelled in the direction of its

— • —

**Abstract**

5

The invention relates to a deformable endoscope that has one or more light/image transmission passages and in which at least one additional instrument is provided, wherein the unit of endoscope and additional instrument has a non-round cross-section along a longitudinal section (insertion section) to be inserted into a human or animal body orifice. The light/image transmission passage or the plurality of light/image transmission passages form – in particular together with at least one work passage – a closed unit (fibroscope part) which can be separated from the additional instrument. The fibroscope part and the additional instrument can be displaced relatively to one another along their longitudinal directions. A holding unit is provided for the holding and/or guiding of the fibroscope part and the additional instrument relative to one another.

10

15

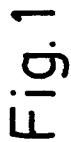


Fig. 3b

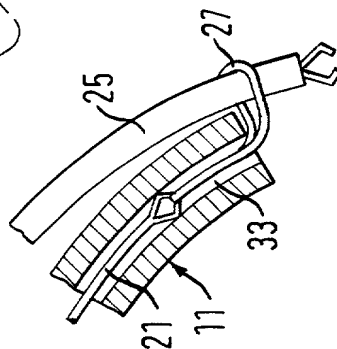


Fig. 3a

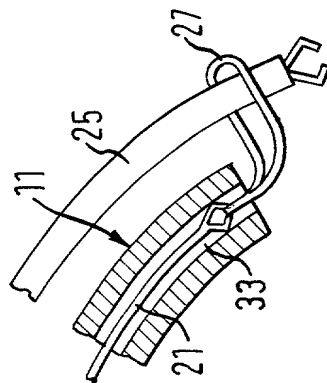


Fig. 2

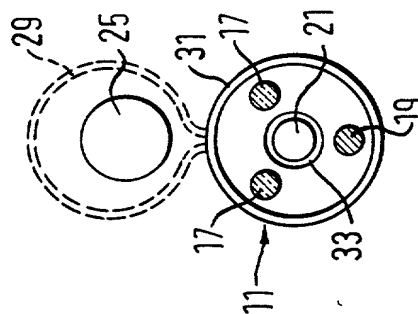
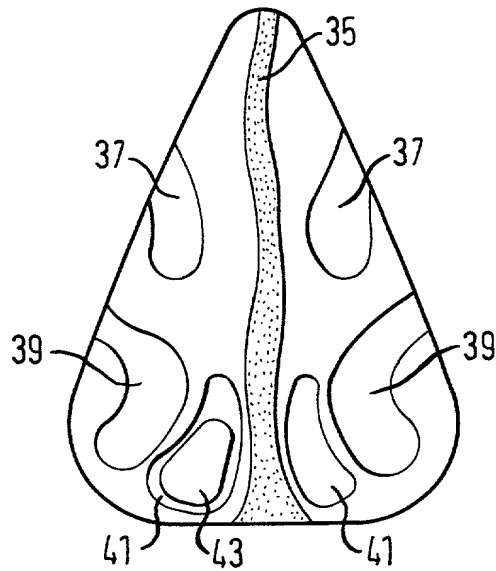


Fig. 4



09/913617-010000



Fig. 5

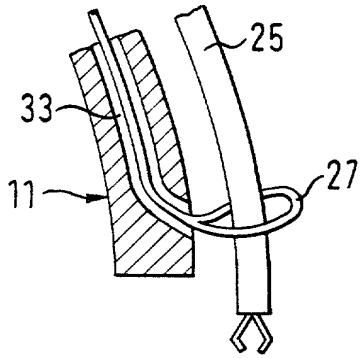


Fig. 6a

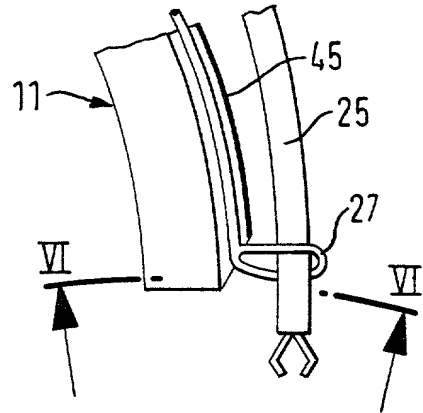


Fig. 6b

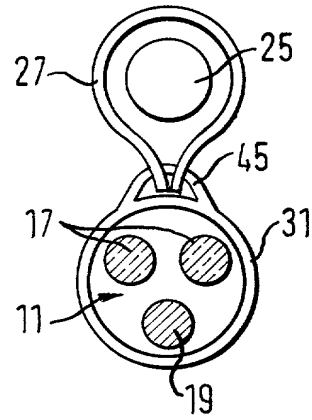


Fig. 7a

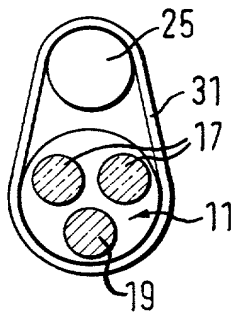


Fig. 7b

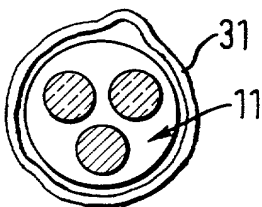


Fig. 7c

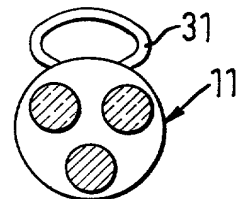


Fig. 8

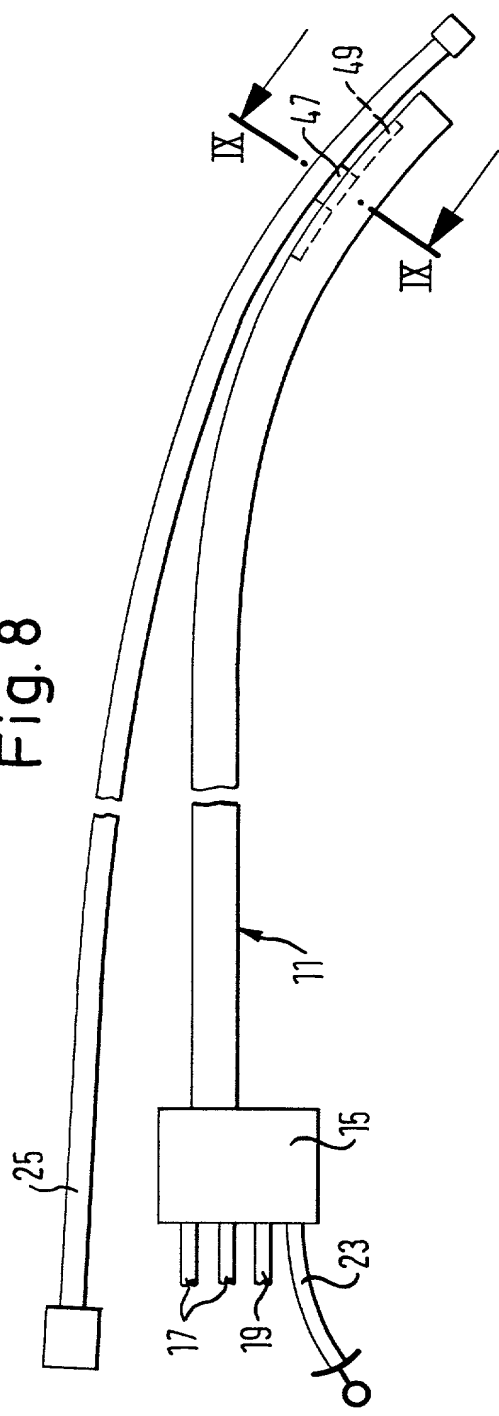
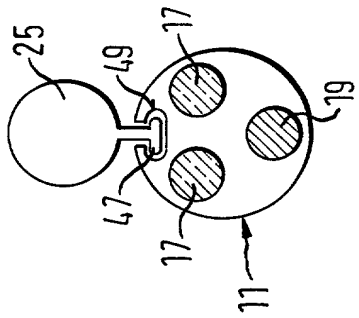
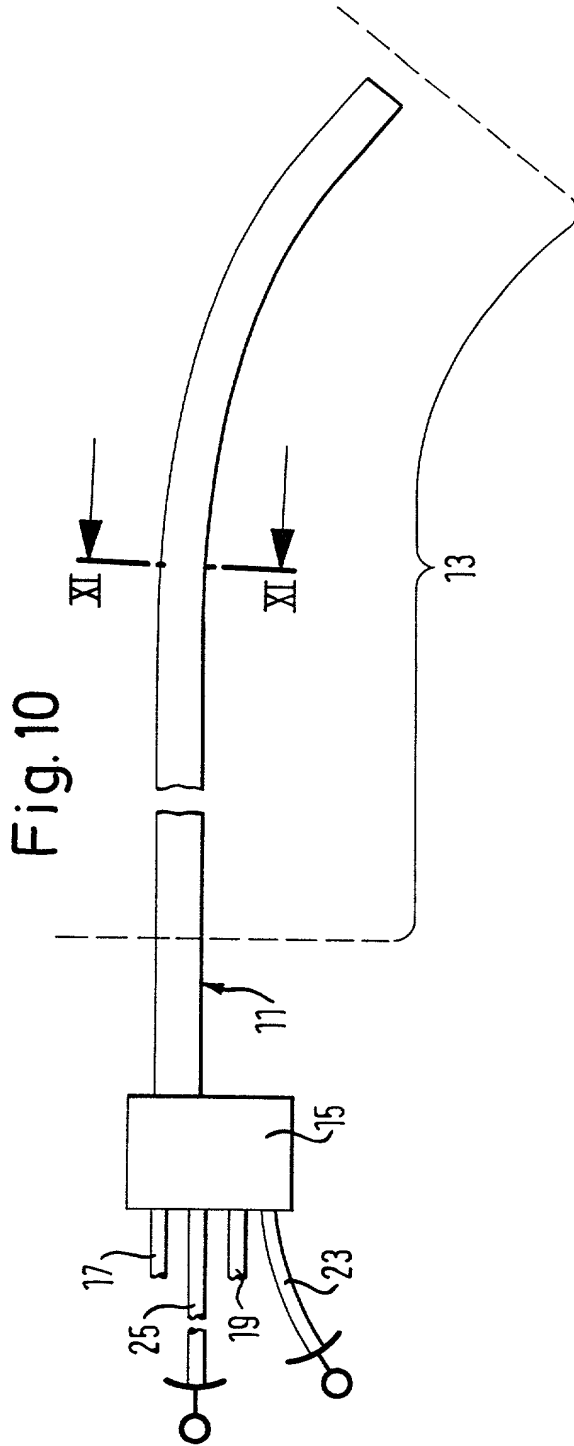
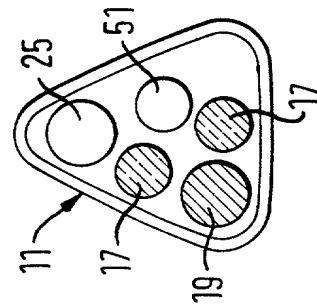


Fig. 9





**Fig. 11b**



**Fig. 11a**

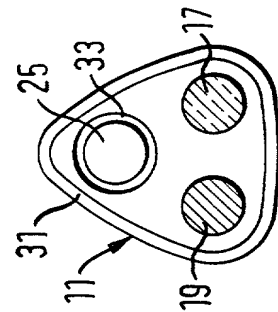


Fig. 12

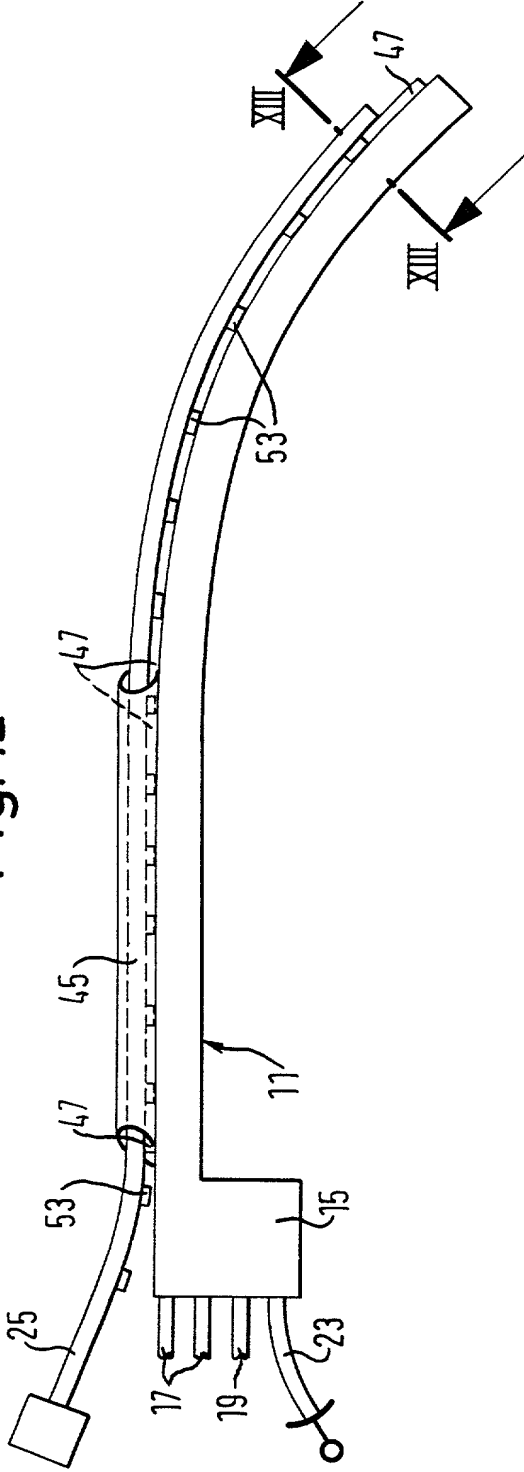


Fig. 15d

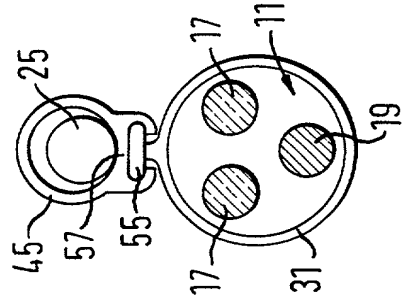


Fig. 13

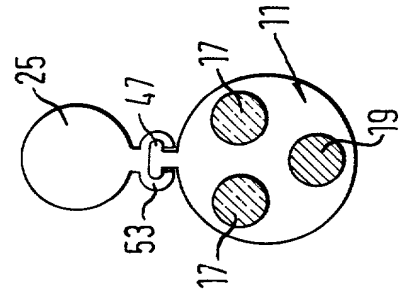


Fig.14

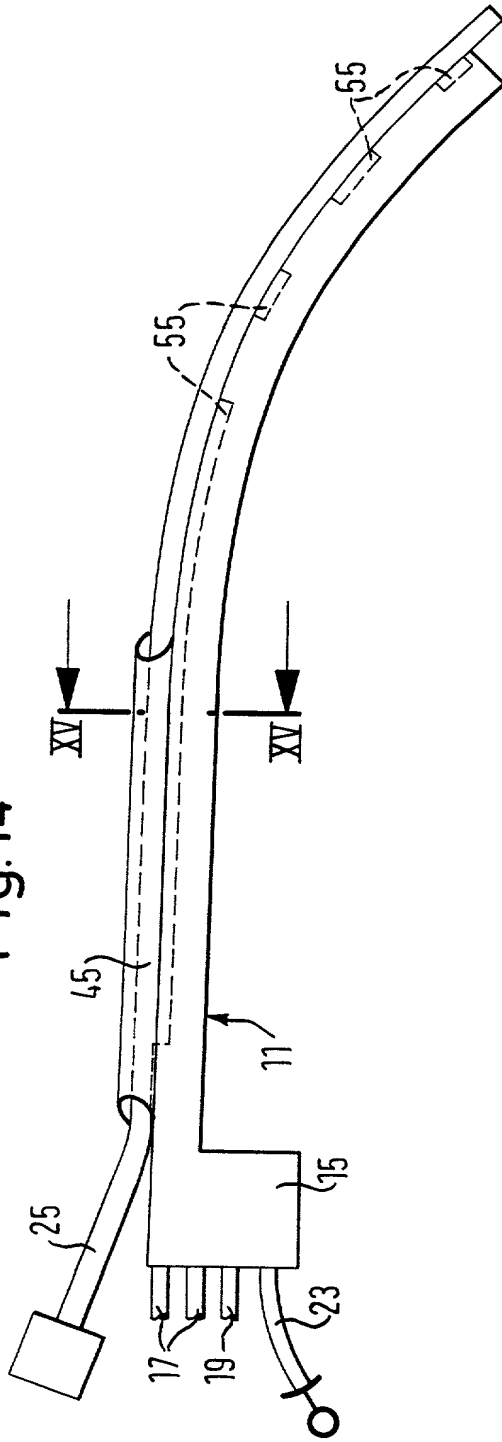


Fig. 15a

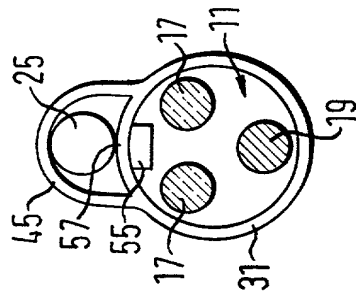


Fig. 15b

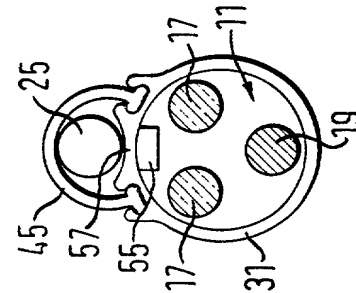
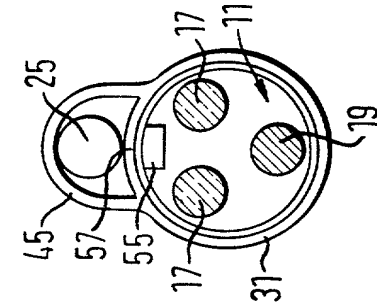
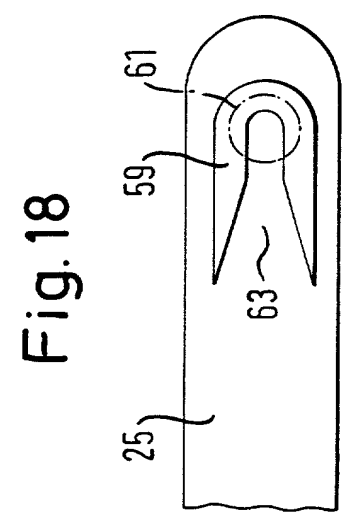
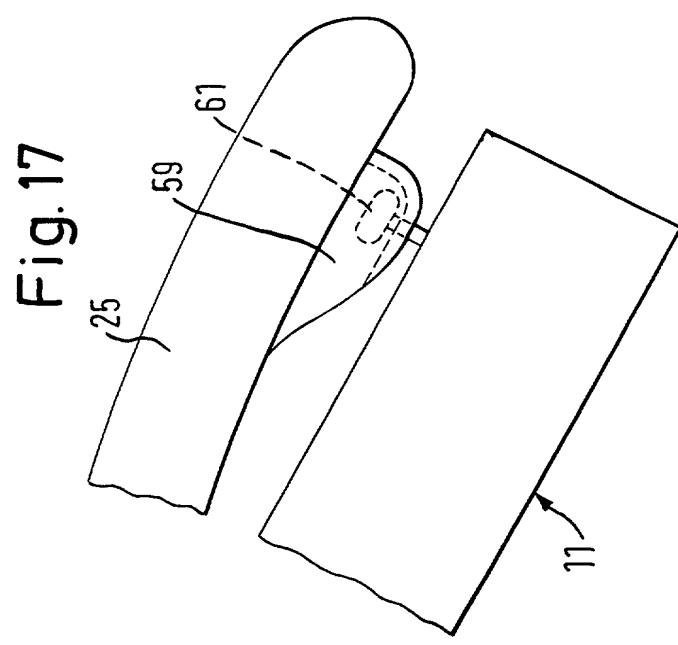
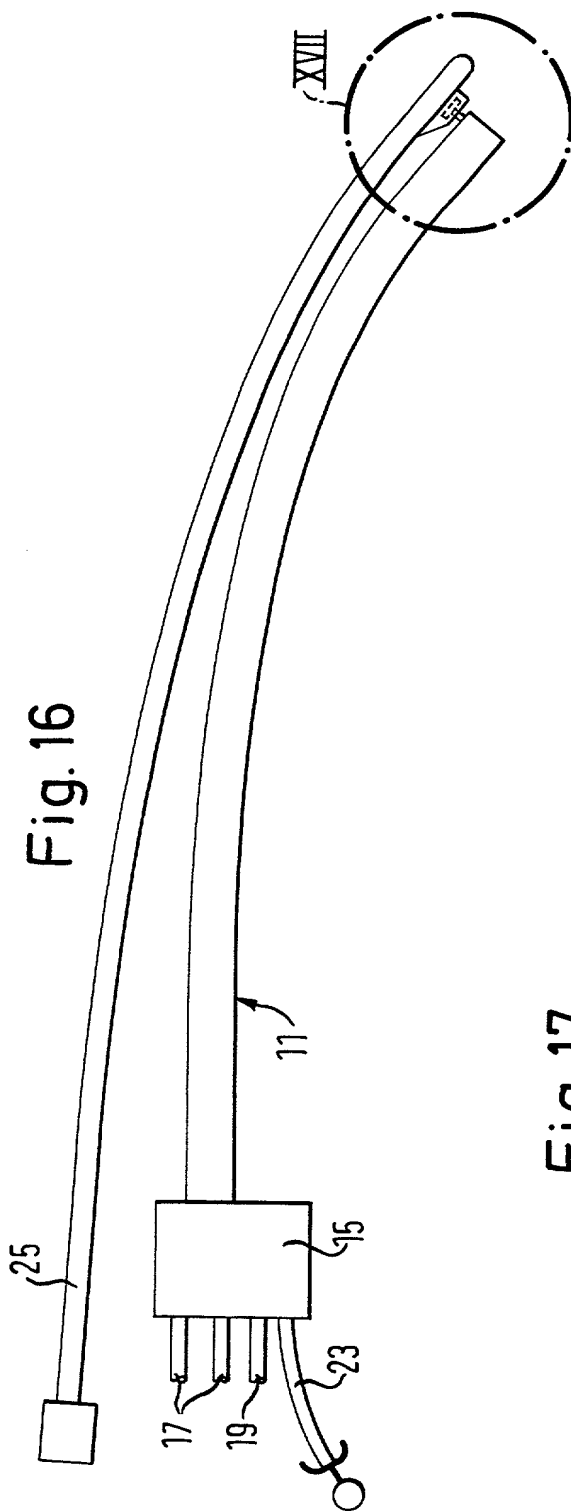


Fig. 15c





Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted  
With Initial  
Filing  
**OR**  
☐ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16(e))  
required)

Attorney Docket Number

089317-000000US

First Named Inventor

Ingo F. Herrmann

**COMPLETE IF KNOWN**

Application Number

09 / 913,617

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DEFORMABLE FIBERSCOPE WITH A DISPLACEABLE SUPPLEMENTARY DEVICE

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
199 06 191.2	Germany	February 15, 1999	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box →



PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☒ Customer Number  
or Bar Code Label

20350

OR

☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Ingo F.

Given Name  
(first and middle [if any])

Herrmann  
Family Name  
or Surname

Inventor's  
Signature

12.09.2001  
Date

München  
Residence: City

State

Germany  
Country

German  
Citizenship

Voltzweg 5

Mailing Address

München  
City

State

D-81479  
ZIP

Germany  
COUNTRY

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name  
(first and middle [if any])

Family Name  
or Surname

Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

COUNTRY

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.